

*RIVER VALLEY METRO MASS TRANSIT DISTRICT
DBE GOAL METHODOLOGY FOR FISCAL YEARS
2027, 2028, AND 2029*

May 21, 2026



"The Public Service Leader for Today and Tomorrow"

River Valley Metro Mass Transit District (RVMMTD) overall goals for Fiscal years 2027 through 2029 are represented as a percentage of FTA funds that RVMMTD projects it will expend in FTA-assisted contracts (exclusive of transit vehicles). The overall rate of DBE participation is projected as 1.0%.

- **All River Valley Metro Mass Transit District capital projects funded by FTA projected for the years 2027, 2028 and 2029 will have an individual DBE established.**
- **We do have an operating contract for drivers, trainers and management with National Express, it is not DBE.**
- **The goal is based on total FTA operating funding including existing Operating Contracts as well as Opportunities for janitorial, office and maintenance supplies, and professional services.**

The following is a summary of the method used to establish annual RVMMTD's triennial goal.

1. Summary

Pursuant to USDOT rules and regulations, 49 CFR PART 26, RVMMTD has adopted percentage goals for DBE participation which are consistent with RVMMTD's own spending patterns and with the amount of DBE participation that would be expected to be achievable in a **Race Neutral** marketplace. Race neutral means include:

- a) Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses, by means such as those provided under § 26.39 of this part.
- b) Providing assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs, and other small businesses, obtain bonding and financing);
- c) Providing technical assistance and other services;
- d) Carrying out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate);
- e) Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;
- f) Providing services to help DBEs, and other small businesses, improving long-term development, increasing opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
- g) Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;
- h) Ensuring distribution of your DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors; and
- i) Assisting DBEs, and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

The rules and regulations require that goals be based upon demonstrable evidence of relative availability of DBEs in the RVMMTD geographical and product markets.

A. Availability Analysis: RVMMTD determined the number of ready, willing, and able DBEs in its marketplace from examination of the IDOT DBE Directory. Since it is likely that companies outside of Kankakee County are willing to do business here, our analysis included all companies doing business within IDOT’s District 3 (a service area spanning 9 counties). As of 4/18/26, the IDOT DBE directory listed a total of 58 companies who carried these products or provided these services. From this list, all 58 companies are willing to conduct business within IDOT District 3.

RVMMTD next consulted the U.S. Census County Business Pattern to determine the total number of business establishments available in its marketplace identified by North American Industrial Classifications Codes (NAICS). To maintain a consistent geographical region for the dataset used in Part A, our analysis included businesses within the counties of District 3 (Bureau, DeKalb, Ford, Grundy, Iroquois, Kankakee, Kendall, LaSalle and Livingston) and District 1 (Cook, DuPage, Kane, Lake, McHenry and Will). RVMMTD is using the 2022 NAICS to establish its goals. The objective is to determine how many businesses exist in the region which serve as RVMMTD’s primary marketplace, and of this amount, how many or what percentage of all available businesses are DBE’s in each relevant NAICS.

Existing Obligations: RVMMTD has previously entered a multi-year operating contract with National Express. This contract encompasses the years 2027, 2028 & 2029. While DBE participation was a consideration during the interview process, this contract was executed with 0% DBE requirement. In determining the DBE goal, RVMMTD considered the federal funds that will be spent annually on the operating contract.

B. “Weighting” of relative availability to reflect the amount of money to be spent in each NAICS area: RVMMTD utilized due diligence to sort out DBE’s from directories by NAICS which were likely to be interested in FTA-assisted contracting and non-contracting business. This narrow-tailoring aspects ensured that a more accurate availability figure would emerge from the “Step One” calculation. More emphasis was then placed on the NAICS that made up the majority percentage of the total RVMMTD budget. RVMMTD has identified the relevant NAICS code services and commodities approximated for acquisition in fiscal years 2027, 2028, and 2029. DBEs were identified in certain NAICS and outreach efforts are planned to target these commodities to ensure broader DBE participation. RVMMTD utilized the formula in establishing these goals “percentage of Budget (A) x DBE Dir (B) divided by CBP (C)” in accordance with the Federal regulation 26.45 C (1).

Step 1 - Determine the weight of each type of work by NAICS Code:

NAICS Code	Service or Supplies	FTA Funds budgeted	% of FTA funds
	<i>Operating Services</i>		
423850	Janitorial Service	\$ 6,601.67	0.22%
424690	Other Chemicals & Products	\$ 5,000.00	0.16%
424120	Office Supplies	\$ 5,000.00	0.16%
	<i>Professional Services</i>		0.00%
561990	Mystery Riders	\$ 9,946.00	0.33%
326199	Vehicle Wraps	\$ 18,000.00	0.59%
	<i>Existing Obligations</i>		
	Operating Contract	\$ 3,000,000.00	98.54%
Combined Totals		\$ 3,044,547.67	100.00%

Step 2 - Determine the relative availability of DBE's by NAICS Code:

NAICS Code	Service or Supplies	Number of DBE Firms	Total Number of Firms	Relative availability of DBE firms
	<i>Operating Services</i>			
423850	Janitorial Service	16	228	0.0702
424690	Other Chemicals & Products	13	393	0.0331
424120	Office Supplies	8		
	<i>Professional Services</i>			
561990	Mystery Riders	21	519	0.0405
326199	Vehicle Wraps	0	347	0.0000
Combined Totals		58	1487	0.0390

Step 3 - (Weight) x (Availability) = Weighted Base Figure:

NAICS Code	Service or Supplies	Weight		Availability	Weighted DBE Goal
	<i>Operating Services</i>				
423850	Janitorial Service	0.002168358	x	0.0702	0.0002
424690	Other Chemicals & Products	0.00164228	x	0.0331	0.0001
424120	Office Supplies	0.00164228	x	0.0331	0.0001
	<i>Professional Services</i>			0.0000	0.0000
561990	Mystery Riders	0.003266824	x	0.0405	0.0001
326199	Vehicle Wraps	0.005912208	x	0.0000	0.0000
	<i>Existing Obligations</i>				
	Operating Contract	0.98536805	x	0.0000	0.0000
				Total	0.0004
				Expressed as a %	0.04%

C. Adjusted DBE Goal:

Pursuant to Section 26.45(d), our analysis considered the historical rate of DBE participation over the last 3 years to determine if an adjustment is warranted. RVMMD previously received federal funding for construction projects; however, since that skill set is not indicative of the work proposed for FY 2027-2029, no adjustment was made to this DBE goal.

A large portion of FTA funds is obligated to the RVMMTD's operating contract with a 0% DBE for FY 2027-2029. The DBE Goal for all remaining expenses has been increased to offset this number. Therefore, the Annual Overall DBE Goal for FY 2027-2029 is 1.0%.

- D. RVMMTD presently has no demonstrable evidence which to rely on to adjust for the continuing effects of past discrimination. Also, RVMMTD knows of no disparity or other studies that could relate to the goods and services that are unique to a small transit district in our service area. However, RVMMTD continually examines the business circumstances in its service area for evidence of recent discrimination that would limit participation by certified DBE's in typical RVMMTD purchases.
- E. Proposed overall goal was posted on our official website www.rivervalleymetro.com on May 22, 2026.

Race/Gender Conscious portion of goal is 0.0%.

Race/Gender Neutral portion of goal is 1.00%.

ANNUAL OVERALL PERCENTAGE GOAL = 1.00% OF THE TOTAL BUDGET